

010504
22912 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 51757-0623 (51757-296203)

First Inventor Max P. McDaniel et al.

Title A POLYMERIZATION PROCESS UTILIZING AN ORGANOMETAL COMPOUND, ORGANOALUMINUM COMPOUND AND A SOLID MIXED OXIDE COMPOUND

Express Mail Label No. EV 015610697 US

31353 U.S. PTO
10/751619

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 29]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets]

5. Oath or Declaration [Total Sheets]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. Paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. 3.73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Copy of Request for Extension in parent application
-Copy of Assignment from prior application
-Copy of Power of Attorney from prior application

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: 10 / 357,212

Prior application information: Examiner Lu, C. Caixia

Art Unit: 1713

Recite complete dependency back to first parent application: This is a continuation application of U.S. Application No. 10/357,212, filed February 4, 2003, which is a divisional of U.S. Application No. 09/584,438 filed May 31, 2000, now U.S. Patent No. 6,531,550, which is a divisional of U.S. Application No. 09/081,480 filed May 18, 1998, now U.S. Patent No. 6,165,929.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number

35395

OR Correspondence address below

Name

Address

City

Country

State

Zip Code

Fax

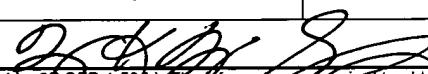
Name (Print/Type)

William K. McGreevey

Registration No. (Attorney/Agent)

52,012

Signature



Date

January 5, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

010504
22012 U.S. PTO

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770)

Complete if Known	
Application Number	Continuation of 10/357,212
Filing Date	January 5, 2004
First Named Inventor	Max P. McDaniel et al.
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	51757-0623 (51757-296203)

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																																																				
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<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="4"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td colspan="2">Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201</td> <td>43</td> <td colspan="2">Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203</td> <td>145</td> <td colspan="2">Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>86</td> <td>2204</td> <td>43</td> <td colspan="2">** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td colspan="2">** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	1202	18	2202	9	Claims in excess of 20			1201	86	2201	43	Independent claims in excess of 3			1203	290	2203	145	Multiple dependent claim, if not paid			1204	86	2204	43	** Reissue independent claims over original patent			1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																							
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*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	William K. McGreevey	Registration No. (Attorney/Agent)	52,012	Telephone	404.815.6500
Signature				Date	January 5, 2004

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173

TRANSMITTAL FORM

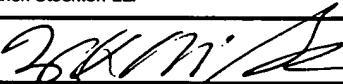
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/357,212
		Filing Date	February 4, 2003
		First Named Inventor	Max P. McDaniel et al.
		Art Unit	1713
		Examiner Name	Lu, C. Caixia
Total Number of Pages in This Submission		Attorney Docket Number	51757-0622 (51757-285880)

ENCLOSURES (check all that apply)

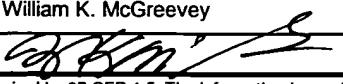
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> -Check in amount of \$110 -Return Receipt Postcard	
			<input type="checkbox"/> After Allowance Communication to Group
			<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
			<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
			<input type="checkbox"/> Proprietary Information
			<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Status Letter			
<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> -Check in amount of \$110 -Return Receipt Postcard			
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William K. McGreevey, Reg. No. 52,012 Kilpatrick Stockton LLP
Signature	
Date	January 5, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	William K. McGreevey
Signature	
Date	January 5, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)
110

Complete if Known

Application Number	10/357,212
Filing Date	February 4, 2003
First Named Inventor	Max P. McDaniel et al.
Examiner Name	Lu, C Caixia
Art Unit	1713
Attorney Docket No.	51757-0622 (51757-285880)

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Other None
Order
 Deposit Account:

Deposit Account Number	11-0855
Deposit Account Name	Kilpatrick Stockton LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee	
1001	2001	770 385	Utility filing fee
1002	2002	340 170	Design filing fee
1003	2003	530 265	Plant filing fee
1004	2004	770 385	Reissue filing fee
1005	2005	160 80	Provisional filing fee
SUBTOTAL (1)		(\$ 0)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20 **	= 0	X 0 = 0
Independent Claims		-3 **	= 0	X 0 = 0
Multiple Dependent				X 0 = 0

Large Entity

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee
1202	2202	18 9
1201	2201	86 43
1203	2203	290 145
1204	2204	86 43
1205	2205	18 9
SUBTOTAL (2)		(\$ 0)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee	
1051	2051	130 65	Surcharge - late filing fee or oath
1052	2052	50 25	Surcharge - late provisional filing fee or cover sheet
1053	1053	130 130	Non-English specification
1812	1812	2,520 2,520	For filing a request for reexamination
1804	1804	920* 920*	Requesting publication of SIR prior to Examiner action
1805	1805	1,840* 1,840*	Requesting publication of SIR after Examiner action
1251	2251	110 55	Extension for reply within first month
1252	2252	420 210	Extension for reply within second month
1253	2253	950 475	Extension for reply within third month
1254	2254	1,480 740	Extension for reply within fourth month
1255	2255	2,010 1,005	Extension for reply within fifth month
1401	2401	330 165	Notice of Appeal
1402	2402	330 165	Filing a brief in support of an appeal
1403	2403	290 145	Request for oral hearing
1451	1451	1,510 1,510	Petition to institute a public use proceeding
1452	2452	110 55	Petition to revive - unavoidable
1453	2453	1,330 665	Petition to revive - unintentional
1501	2501	1,330 665	Utility issue fee (or reissue)
1502	2502	480 240	Design issue fee
1503	2503	640 320	Plant issue fee
1460	1460	130 130	Petitions to the Commissioner
1807	1807	50 50	Processing fee under 37 CFR 1.17 (q)
1806	1806	180 180	Submission of Information Disclosure Stmt
8021	8021	40 40	Recording each patent assignment per property (times number of properties)
1809	2809	770 385	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	2810	770 385	For each additional invention to be examined (37 CFR § 1.129(b))
1801	2801	770 385	Request for Continued Examination (RCE)
1802	1802	900 900	Request for expedited examination of a design application
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 110)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William K. McGreevey	Registration No. (Attorney/Agent)	52,012	Telephone	404.815.6500
Signature				Date	January 5, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Max P. McDaniel et al.

SERIAL NO.: 10/357,212

GROUP ART UNIT: 1713

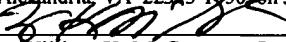
FILED: February 4, 2003

EXAMINER: Lu, C. Caixia

FOR: A POLYMERIZATION PROCESS
UTILIZING AN ORGANOMETAL
COMPOUND,
ORGANOALUMINUM
COMPOUND AND A SOLID
MIXED OXIDE COMPOUND

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as certified first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 5, 2004.


William K. McGreevey, Reg. No. 52,012

ATTORNEY DOCKET NO.:51757-0622
(51757-285880)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

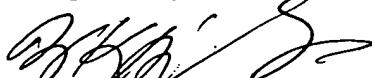
PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136

Sir:

The above-identified applicant respectfully requests a one-month extension of time within which to file a response to the Office Action dated September 5, 2003, to expire Monday, January 5, 2004. A check in the amount of \$110.00 is enclosed herewith to cover the fee for a one-month extension.

Please charge any additional fees, or credit any overpayment, to Deposit Account 11-0855.

Respectfully submitted,



William K. McGreevey
Registration No. 52,012

KILPATRICK STOCKTON LLP
1100 Peachtree Street, N.E.
Suite 2800
Atlanta, Georgia 30309-4530
Telephone: (404) 815-6500